SEC Form 4

(Street)

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) <u>Cerevel Therapeutics Holdings, Inc.</u> PERCEPTIVE ADVISORS LLC Х Director 10% Owner CERE] Officer (give title Other (specify below) below) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) **51 ASTOR PLACE, 10TH FLOOR** 07/07/2021 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) NEW YORK 10003 NY Form filed by One Reporting Person Form filed by More than One Reporting Х Person (State) (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5. Amount of Securities 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 7. Nature of Transaction Indirect if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 5) Beneficially (D) or Indirect Beneficial Owned Following Ownership (Instr. 4) 8) (l) (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price See 07/07/2021 200,000 4,843,095 Common Stock F \$25 A T Footnote⁽¹⁾ Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed Execution Date, 1. Title of Derivative 5. Number 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 11. Nature of Indirect 3. Transaction 10 Conversion Date Transaction Ownership (Month/Dav/Year) Security or Exercise if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial Ownership (Instr. 3) Price of 8) Securities Underlying (Instr. 5) Beneficially Direct (D) Derivative Acquired or Indirect (Instr. 4) Derivative Owned Security (A) or Disposed Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported of (D) . Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Date Expiration of Shares v Code (A) (D) Exercisable Title Date 1. Name and Address of Reporting Person PERCEPTIVE ADVISORS LLC (Last) (First) (Middle) 51 ASTOR PLACE, 10TH FLOOR (Street) NEW YORK 10003 NY (Citv) (State) (Zip) 1. Name and Address of Reporting Person' PERCEPTIVE LIFE SCIENCES MASTER **FUND LTD** (Last) (First) (Middle) 51 ASTOR PLACE, 10TH FLOOR (Street) NEW YORK 10003 NY (City) (State) (Zip) 1. Name and Address of Reporting Person **EDELMAN JOSEPH** (Last) (First) (Middle) **51 ASTOR PLACE, 10TH FLOOR**

NEW YORK	NY	10003
(City)	(State)	(Zip)

Explanation of Responses:

1. The securities are directly held by Perceptive Life Sciences Master Fund Ltd. (the "Master Fund"). Perceptive Advisors LLC (the "Advisor") serves as the investment manager of Master Fund. Mr. Edelman is the managing member of the Advisor. Each of Mr. Edelman and the Advisor disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his/its indirect pecuniary interest therein, and this report shall not be deemed an admission that either Mr. Edelman or the Advisor is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.

/s/ Joseph Edelman - for Perceptive Life Sciences Master Fund Ltd., By: Perceptive Advisors LLC, its 07/09/2021 investment manager, By: Joseph Edelman, its managing member /s/ Joseph Edelman - for <u>By:</u> Perceptive Advisors LLC, 07/09/2021 Joseph Edelman, its managing member /s/ Joseph Edelman 07/09/2021

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.